

# Descriptive Set Theory HW 5

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**Problem 1.** Let  $X$  be Polish and let  $\{A_n\}_{n \in \omega}$  be a sequence of disjoint analytic sets in  $X$ . Prove that there are disjoint Borel sets  $\{B_n\}_{n \in \omega}$  with  $B_n \supseteq A_n$ .

**Solution.** First, we state the following claim, which follows from using Luzin's analytic separation theorem pairwise and then taking a countable intersection of all the witnesses to this result:

**Claim 1.** Given a countable collection  $\{A_n\}_{n \in \omega}$  of analytic sets and a fixed  $i < \omega$ , there's a Borel set  $B \supseteq A_i$  such that  $A_j \subseteq B^c$  for each  $j \neq i$ .

Then, we construct the  $B'_i$ 's by recursion where at the  $n^{\text{th}}$  stage we use the above claim to find a  $B_n$  that separates  $A_n$  from all  $A_m$  for  $m > n$  and all  $B_k$  for  $k < n$ . This implies that the collection  $\{B_n\}_{n \in \omega}$  is pairwise disjoint because if  $n < m$  then  $B_n \subseteq B_m^c$  by construction. The result follows.  $\star$

**Problem 2.** Let  $X$  be Polish and let  $E$  be an analytic equivalence relation on  $X$ .

1. Show that for an analytic set  $A$ , its saturation  $[A]_E$  is also analytic.
2. Let  $A, B \subseteq X$  be disjoint invariant analytic sets. Prove that there is an invariant Borel set  $D$  separating  $A$  and  $B$ , i.e.  $D \supseteq A$  and  $D \cap B = \emptyset$ .

**Solution.**

1. Observe that  $x \in [A]_E \Leftrightarrow (\exists y \in A)(x, y) \in E \Leftrightarrow x \in \text{proj}_1((X \times A) \cap E)$ . Since  $(X \times A) \cap E$  is analytic and analytic sets are closed under continuous images, it follows that  $[A]_E$  is analytic as well.
2. Assume  $A$  and  $B$  are disjoint and invariant analytic sets. We construct an increasing sequence  $\{D_n\}_{n < \omega}$  of Borel sets such that  $[D_n] \cap B = \emptyset$  for each  $n$  as follows: let  $D_0$  be a Borel set separating  $A$  and  $B$ . Since  $D_0 \cap B$  is empty, it follows that  $[D_0] \cap B$  is empty because  $B$  is invariant. Given  $D_n$ , observe that  $[D_n]$  is analytic by the previous part of this

problem, and is disjoint from  $B$  by the induction hypothesis. Then, let  $D_{n+1} \supseteq [D_n]$  be a Borel set separating  $[D_n]$  and  $B$ . By the same argument as above,  $[D_{n+1}] \cap B$  is empty.

So, we have  $A \subseteq D_0 \subseteq [D_0] \subseteq \dots \subseteq D_n \subseteq [D_n] \subseteq \dots$ . Finally, define  $D = \bigcup_i D_i$ . This is Borel because it's a countable union, it's invariant because  $D = \bigcup_i [D_i]$ , and it separates  $A$  and  $B$  by construction.

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**Problem 3.** Construct an example of a closed equivalence relation  $E$  on a Polish space  $X$  and a closed set  $C \subseteq X$  such that the saturation  $[C]_E$  is analytic but not Borel.

**Solution.** Fix an analytic  $A \subseteq \mathcal{N}$  that's analytic but not Borel and let  $C \subseteq \mathcal{N}^2$  be a closed set such that  $A = \text{proj}_1(C)$ . Define  $(a, b)E(c, d) \Leftrightarrow a = c$ , which is certainly closed. We want to identify points that project to the same thing. Now,  $[C]_E = \text{proj}_1((\mathcal{N}^2 \times C) \cap E) \subseteq \mathcal{N}^2$  is analytic like the previous problem. If it were also Borel, consider the continuous function  $f: x \mapsto (x, x)$  from  $\mathcal{N}$  to  $\mathcal{N}^2$ . Then  $f^{-1}([C]_E)$  would also be Borel. But, it's not hard to check that  $f^{-1}([C]_E) = A$ , contradicting the choice of  $A$ .

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**Problem 4.** Let  $X$  be set and let  $\tau_0, \tau_1$  be Polish topologies on  $X$  such that  $\tau_0 \subseteq \mathcal{B}(\tau_1)$ . Show that  $\mathcal{B}(\tau_0) = \mathcal{B}(\tau_1)$

**Solution.** Obviously  $\mathcal{B}(\tau_0) \subseteq \mathcal{B}(\tau_1)$ . To show the other direction, consider the identity map  $i: (X, \tau_1) \rightarrow (X, \tau_0)$ . The hypothesis implies this is a Borel map. By the Luzin-Souslin theorem in Anush's notes, because  $i$  is bijective, it's a Borel embedding (i.e. it maps Borel sets to Borel sets). This implies that  $\mathcal{B}(\tau_1) \subseteq \mathcal{B}(\tau_0)$  as desired.

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**Problem 5.** Prove the following characterization of Borel sets: A subset  $B$  of a Polish space  $X$  is Borel iff it is an injective continuous image of a closed subset of  $\mathcal{N}$ .

**Solution.** The forward direction follows from Corollary 11.20 in Anush's notes; namely, we may refine the Polish topology on  $X$  to make  $B$  clopen. Then, we may find a closed subset  $F$  of Baire space and a continuous bijection  $f: F \rightarrow B$ , which would be continuous wrt the original subspace topology on  $B$  because we first refined the topology on  $X$ . Then  $f: F \rightarrow X$  is a continuous injection with  $f''F = B$ . The backwards direction follows from the Luzin-Souslin theorem, as closed subsets of Baire space are Polish and such an injective continuous function would be a Borel embedding.

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**Problem 6.** Let  $X$  be a Polish space.

1. Show that  $\mathcal{F}(\omega^\omega)$  admits a Borel selector.
2. By Problem 28, there is a continuous open surjection  $g : \omega^\omega \rightarrow X$ . Prove that the map  $f : \mathcal{F}(X) \rightarrow \mathcal{F}(\omega^\omega)$  defined by  $F \mapsto g^{-1}(F)$  is Borel.
3. Conclude that  $\mathcal{F}(X)$  admits a Borel selector.

**Solution.**

1. Given a closed subset  $F$  of  $\omega^\omega$ , let  $s(F)$  be the left most branch of  $F$ . Such a branch exists by recursion; i.e. we know that  $F = [T]$  for some tree pruned  $T$  on  $\omega$ . Construct  $(s_n)_{n < \omega} \in T^\omega$  such that  $\text{dom}(s_n) = n$  and  $s_n \subseteq s_{n+1}$  by letting  $s_{n+1} = s_n \widehat{k}$ , where  $k$  is the least number such that  $s_n \widehat{k} \subseteq y$  for some  $y \in [T]$ . Such a  $k$  always exists because our tree is pruned. Then  $s = \bigcup_n s_n$  is the leftmost branch through  $T$  (and therefore of  $F$ ).

To check this map is Borel, given  $t \in \omega^{<\omega}$ , we have that  $F \in s^{-1}(N_t) \Leftrightarrow s(F) \supseteq t$ . Observe though that this happens iff  $F \cap N_t \neq \emptyset$  and for any  $s \in \omega^{<\omega}$  lexicographically to the left of  $t$ ,  $F \cap N_s = \emptyset$ . But, then  $F \in s^{-1}(N_t) \Leftrightarrow F \in [N_t] \cap \bigcap_s [N_s]^c$ . The RHS is Borel by the definition of the Effros Borel space because the intersection is countable. So the selector is indeed a Borel selector.

2. Fix  $t \in \omega^{<\omega}$ . Observe that  $g''N_t$  is open. Then we have  $F \in f^{-1}[N_t] \Leftrightarrow g^{-1}(F) \in [N_t] \Leftrightarrow g^{-1}(F) \cap N_t \neq \emptyset \Leftrightarrow F \cap g''N_t \neq \emptyset \Leftrightarrow F \in [g''N_t]$ . It follows that the map  $f$  is Borel.
3. The map  $g \circ s \circ f : \mathcal{F}(X) \rightarrow X$  is Borel by the previous parts of this problem. Definition chasing yields that this is a selector, as desired.

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**Problem 7.** For a topological space  $X$ , show that  $BP(X)$  admits envelopes.

**Solution.** For a set  $A \subseteq X$ , consider the set  $B = U(A^c)^c \cup A = U(A^c)^c \cup (U(A^c) \cap A)$ .  $U(A^c)^c$  has the BP, and since  $U(A^c) \Vdash A^c$ , we have that  $U(A^c) \setminus A^c = U(A^c) \cap A$  is meager (and therefore has the BP). So,  $B \in BP(X)$ . To show that  $B$  is an envelope for  $A$ , fix  $C \subseteq B \setminus A = U(A^c)^c \cap A^c$  and assume that  $C$  has the BP. It's enough to show that  $C$  is meager. Let  $U = {}^* C$ . If  $U$  is empty, then  $C$  is meager and we win. Otherwise, if  $U \neq \emptyset$ , then  $U \Vdash C$ . Since  $C \subseteq A^c$ , we have that  $U \Vdash A^c$ , implying that  $U \subseteq U(A^c)$ . Further, since  $C \subseteq U(A^c)^c$ , we have  $U \Vdash U(A^c)^c$ . This implies that  $U \cap U(A^c) = U$  is meager. Since  $U = {}^* C$ , we get that  $C$  is meager as well. ★

**Problem 8.** Prove directly (without using Wadge's theorem or lemma) that any countable dense  $Q \subseteq 2^\omega$  is  $\Sigma_2^0$ -complete.

**Solution.**  $Q$  is  $\Sigma_2^0$  because singletons are closed and  $Q$  is countable. Now, assume that  $X$  is a zero-dimensional Polish space and  $A \subseteq X$  be  $\Sigma_2^0$ . By Thm 5.8 in Anush's notes we may assume that  $X$  is a closed subset of  $\omega^\omega$ . Then, we may write  $A = \bigcup_n C_n$  where each  $C_n$  is a closed subset of  $\omega^\omega$ . This implies that  $C_n = [T_n]$  for some pruned tree  $T_n$  on  $\omega$ .

For the sake of sanity, we hope the reader is happy with just a (hopefully clear) description of the map  $f: \omega^\omega \rightarrow 2^\omega$ . First, for each  $t \in \omega^{<\omega}$ , fix a  $q_t \in Q \cap N_t$  and  $r_t \in Q^c \cap N_t$ , where if  $s \sqsubseteq t$ , then  $q_s = q_t$  and  $r_s = r_t$ . We can do this because  $Q$  is countable and dense. Also, fix  $x \in \omega^\omega$ . The main idea is that we check if  $x \in [T_n]$  one  $n$  at a time, by successively checking if initial segments of  $x$  are in  $T_n$ . When there's an initial segment of  $x$  that's not in  $T_n$ , we stop checking if  $x \in [T_n]$  and move to check if  $x \in [T_{n+1}]$ .

The value of  $f(x)(n)$  will depend on what we've checked at the  $n^{\text{th}}$  stage of our computation. In particular, assume we are checking if  $x|k \in T_m$  at stage  $n$ . If, indeed,  $x|k \in T_m$ , then we set  $f(x)(n) = q_{f(x)|n}(n)$ . If this is the case, during the  $n+1$  stage in our computation we will check if  $x|(k+1) \in T_m$ . Otherwise, we set  $f(x)(n) = r_{f(x)|n}(n)$ . If this is the case, during the  $n+1$  stage in our computation we will check if  $x|k \in T_{m+1}$ . In the first case,  $f(x)$  looks more like an element of  $Q$ . In the second case,  $f(x)$  looks more like an element of  $Q^c$ .

Now, notice that, indeed,  $x \in A \Leftrightarrow f(x) \in Q$  because we required that  $q_s = q_t$  and  $r_s = r_t$  if  $s \sqsubseteq t$ . To check continuity, fix  $x \in \omega^\omega$  and  $n < \omega$ . We must show that the first  $n$  digits of  $f(x)$  depends on the first  $m$  digits of  $x$  for some  $m < \omega$ . But this is the case because the first  $n$  digits of  $f(x)$  depends on at most the first  $n$  digits of  $x$  (depending on whether or not initial segments of  $x$  are in the various  $T_i$ ). It follows that  $A \leq_W Q$  and so  $Q$  is  $\Sigma_2^0$ -complete as desired. ★

**Problem 9.** Show that the set of eventually zero binary sequences is  $\Sigma_2^0$  complete. Conclude that the set of binary sequences with infinitely many 0's is  $\Pi_2^0$  complete.

**Solution.** Let  $Q_2$  be the eventually zero binary sequences and  $N_2$  the binary sequences with infinitely many 0's. Regarding the second part of this problem, if  $Q_2$  is  $\Sigma_2^0$  complete, then the same argument would show that the eventually one sequences is also  $\Sigma_2^0$  complete. This implies that the complement (i.e.  $N_2$ ) is  $\Pi_2^0$  complete.

Now,  $Q_2$  is countable because elements of  $Q_2$  are determined by finite initial segments (because they're eventually zero). It's also not hard to see that  $Q_2$  is dense. So by the previous problem,  $Q_2$  is  $\Sigma_2^0$  complete.

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